# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM 8-K/A

#### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of report (Date of earliest event reported): February 24, 2020

# **GUARDANT HEALTH, INC.**

(Exact name of registrant as specified in its charter)

Delaware 001-38683 45-4139254

(State or other jurisdiction of incorporation or organization)

(Commission File Number) (I.R.S. Employer Identification No.)

505 Penobscot Dr.
Redwood City, California 94063
(Address of principal executive offices) (Zip Code)

855-698-8887

(Registrant's telephone number, include area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below i	f the Form 8-K filing is intended	d to simultaneously satisfy th	e filing obligation of the reg	gistrant under any of the
following provisions:				

- □ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- □ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- □ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- □ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class
Common Stock, par value \$0.00001

Trading Symbol(s)

Name of each exchange on which registered The Nasdaq Global Select Market

GH

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).
Emerging growth company
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. $\Box$

### **EXPLANATORY NOTE**

This Amendment No. 1 on Form 8-K/A (this "Amendment") is being filed by Guardant Health, Inc. (the "Company") to amend its Current Report on Form 8-K filed with the Securities and Exchange Commission on February 24, 2020 (the "Original Report"), solely to supplement Item 5.02 of the Original Report to include updated disclosure regarding the departure date of the Company's Chief Financial Officer. This Amendment does not otherwise amend, update or change any other disclosure contained in the Original Report.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

As previously reported in the Original Report, Derek Bertocci, the Company's Chief Financial Officer ("CFO"), notified the Company of his intention to retire. Mr. Bertocci, who continues to serve as the Company's CFO, has advised the Company that he will retire effective December 4, 2020.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## **GUARDANT HEALTH, INC.**

Date: October 6, 2020 By: /s/ John G. Saia

John G. Saia

Senior Vice President, General Counsel and

Secretary